

To: Joint Steering Committee for Revision of AACR
From: Sally Strutt, Chair, JSC
Re: Terms of reference for revising Chapter 21

Note: This document was previously numbered 4JSC/ALA Rep/1/Rev/3.

Related documents:

4JSC/ACOC/1 series; *4JSC/LC/54* series

Background

Tom Delsey's analysis of part II of AACR2 called for a re-examination of some of the fundamental concepts of the code, such as "authorship," "work," and "edition." The issues are complex, and after first looking at the limitations imposed by the "rule of three," the JSC has decided to pursue a complete revision of chapter 21. This revision should follow Delsey's recommendations, including the "rule of three" issue.

Charge

Propose rule revisions to Chapter 21 using the following 7 recommendations from Delsey's logical analysis.

1. Using the model developed for this study as a frame of reference, develop a specification for the functions of the catalogue that fully articulates the objectives underlying the rules in the code that relate to the choice of access points and the construction and use of uniform titles. The tables used in chapter 7 of the *Functional Requirements for Bibliographic Records* might serve as a model for structuring the specifications.
2. Re-assess the concept of "authorship" as it relates to the functions of the catalogue, and determine whether the exceptions in the rules that limit the assignment of access points in certain instances (including the "rule of three") should be altered.
3. Assess the need to reflect additional relationships between persons and corporate bodies and the content of an item in the context of newly emerging forms of intellectual and artistic expression and multimedia productions.
4. Using the model as a frame of reference, test the feasibility of developing and articulating principles relating to the identity of the work or works manifested in the content of an item that can be applied at a more generalized level than is currently reflected in the specifics of the rules for choice of entry.

5. Re-assess the current restrictions imposed by the application of the “rule of three” on the identification of individual works in items containing collections of works by different persons or bodies.
6. Using the model developed for this study, re-examine the use of the citation form as it is developed in the code to determine whether it is an optimally effective device for reflecting work-to-work relationships in the catalogue in light of the technology currently available to support bibliographic databases.
7. Examine the feasibility of re-structuring the rules in Chapter 21 with a view to simplifying the use of the rules and facilitating the application of “general” rules to particular cases in the absence of rules dealing specifically with the case in question.

The rule revision proposals made should simplify the application of the rules to allow principle-based general rules to be applied in particular cases rather than proliferating special case rules.

The rule revision proposals made should clarify the concepts embodied in the rules, and should reorganize or restructure Chapter 21 to better articulate the functions of the rules and their relations to the objectives of the catalogue.

The rule proposals should align the vocabulary in the rules with the terminology used in *Functional Requirements for Bibliographic Records*.

Selected Bibliography

The following documents provide starting points for revising Chapter 21.

- *Functional Requirements for Bibliographic Records: Final Report*. IFLA Study Group on the Functional Requirements for Bibliographic Records. Munchen : K.G. Saur, 1998. Restates the objectives of the catalogue and articulates the key bibliographic and “real world” entities and relationships essential to organizing information for use.

JSC documents:

- *4JSC/ACOC/1* series: *Rule revision proposals to make the “rule of three” optional*.
- *4JSC/Chair/65* (1998). Tom Delsey: *The Logical Structure of the Anglo-American Cataloging Rules. Part II* . Makes seven recommendations for re-assessments on critical aspects of Part II of the rules and Chapter 21 in particular.
- *4JSC/Chair/76/Chair follow-up* (2002). Pat Riva: *Incorporating FRBR terminology into AACR*. Called for a significant restructuring of the chapter.
- *4JSC/CILIP-BL/1* (2002). *Revision of General Introduction and Introductions to Parts I and II*. The draft introductory chapter to AACR.

- *4JSC/LC/54* series and responses: JSC documents on providing for authority control within AACR.

Documents concerning cataloguing principles:

- International Conference on Cataloguing Principles (1961: Paris). *Report*. London: International Federation of Library Associations, 1963. (Especially the “Statement of Principles” and “10. Multiple Authorship”.)
- Lubetzky, Seymour. *Cataloging Rules and Principles: a Critique of the A.L.A. Rules for Entry and a Proposed Design for Their Revision*. Washington : Processing Dept., Library of Congress, 1953. (Especially the objectives of cataloguing.)
- Lubetzky, Seymour. *Principles of Cataloging*. Los Angeles, Calif.: Institute of Library Research, University of California, 1969.
- *Principles for Library Catalogues and Other Bibliographic Files*. Draft from (approved by) the IFLA Meeting of Experts on an International Cataloguing Code, 1st, Frankfurt, Germany, 2003.
- Verona, Eva. *Statement of Principles...*Annotated ed. London: IFLA Committee on Cataloguing, 1971.