Memorandum

TO: Joint Steering Committee for Revision of AACR  DATE:  March 25, 2005
FROM: Barbara B. Tillet, LC Representative
SUBJECT: AACR3 Area 4 Example of Simplified Rules

The Library of Congress submits the following revision of rules in A1.4 as an example of how rules can be simplified to give only the most necessary instructions to catalogers. The principle, stated in LC’s response to the part I draft of AACR3, of transcribing what is presented in the resource without modification is also incorporated in this revision.

Note three aspects of this revision:
1. It assumes that A1.0D (Levels of detail in the description) will be extensively revised to state the mandatory and optional data elements to be given in Area 4, depending on the level of description.
2. It simplifies manufacturing information (currently in A1.4G).
3. It lacks examples, which should be added.

Clean copy of proposed revision

A1. 4. PUBLICATION AREA

Contents:
A1.4A. Purpose of this area
A1.4B. General rule
A1.4C. Place of publication
A1.4D. Name of publisher
A1.4E. Date
A1.4F. Changes in publication information

A1.4A. Purpose of this area. The purpose of this area is to provide 1) important information about publishing, distributing, issuing, releasing of the resource being described (collectively called “publication” in these rules); 2) information about the printer or manufacturer of the resource being described in certain situations; 3) the date of production or assembly for resources in unpublished form or assembled collections.

A1.4B. General rule. For published resources, provide information found in the resource, or in other sources, that identifies: 1) the place of publication; 2) the publisher;
and 3) the year(s) of publication.

A1.4C. **Place of publication.** Transcribe the place (local city/town) and its larger jurisdiction (if any) as they appear on the resource.

   If the place is uncertain or unknown choose the appropriate option as follows:
   
   **Local place not in resource but certain.** Supply the local place name in square brackets.
   
   **Local place uncertain.** Supply in square brackets a probable local place name followed by a question mark.
   
   **Local place unknown but larger jurisdiction probable.** Supply in square brackets the probable larger jurisdiction (e.g., state, country) followed by a question mark.
   
   **No place known or probable.** Supply in square brackets the phrase *place unknown.*

   *Optionally*, to help a library user to identify the place of publication when the place on the resource is known to be fictitious, is given in an unusual form, or is not the only place with that name, also supply in square brackets the actual place of publication, the conventional name of the place, or the name of the larger jurisdiction, respectively.

A1.4D. **Name of publisher.** Transcribe the name of the publisher as it appears on the resource.

   When no publisher appears, choose the appropriate option as follows:
   
   **No publisher on resource but a distributor is named.** Transcribe the name of the distributor as it appears on the resource. In so doing, transcribe its associated place in accordance with A1.4C.
   
   **No publisher or distributor.** Supply in square brackets the phrase *publisher unknown.*
   
   **Manufacturer.** Transcribe a manufacturer and its associated place only when there is no publisher or distributor recorded; record these data after the date.

   For **early printed resources**, apply the following: 1) if the printer appears on the resource as part of the publication information, record the name as part of the publisher element; 2) *optionally*, if the printer is named separately and the printer can clearly be distinguished from the publisher or bookseller, record the printer (and its associated place) after the date.

A1.4E. **Date.**
   
   a) For **published resources**, transcribe the date in which the resource was published as it appears on the resource, usually only as year(s).
   
   i) **Date not in Western-style Arabic numerals.** Add the year(s) in such numerals in square brackets as needed for comprehensibility.
ii) **Date not of the Gregorian or Julian calendar.** Follow it with the year(s) of the Gregorian or Julian calendar in square brackets.

iii) **No publication date on the resource.** Record a date in the following order of preference: copyright date (indicated as such; use the latest if there is more than one); date of manufacture (indicated as such); supplied date in square brackets; approximate date in square brackets.

b) For **unpublished resources** or **assembled collections**, record the date of production or assembly.

**A1.4F. Changes in publication information.**

a) For **serials**,
   
i) if the place of publication changes on a subsequent issue or part, record the later information as a separate publication statement if considered to be important (see A1.7B12.__).
   
ii) if the name of the publisher changes on a subsequent issue or part and this change does not require a new description (see XX.XX), record the later name as a separate publication statement if considered to be important.

b) For **multipart monographs**, if the publication information changes on a subsequent part, record the later information as a separate publication statement if considered to be important (see A1.7B12.__).

   c) For **integrating resources**, if the publication information changes on a subsequent iteration, change the publication area to reflect the current iteration and record the earlier information in a note if considered to be important (see A1.7B12.__).