To: Joint Steering Committee for Development of RDA

From: Bill Leonard, CCC representative

Subject: Proposed revision to instruction 6.16.1.3, “Recording Numeric Designations of Musical Works”

CCC proposes the following revisions in the instruction for recording numeric designations of musical works. These issues were identified as high priorities by the Canadian Association of Music Libraries/Library of Congress/Music Library Association’s RDA Music Revisions Facilitation Task Force. The proposal was developed by the Cataloguing Committee of the Canadian Association of Music Libraries, Archives and Documentation Centres, reviewed by the Task Force and endorsed by CCC.

This proposal is contingent on the approval of 6JSC/CCC/7 Revision to instructions 6.14.2.7.2, “Two or More Parts,” 6.14.2.8, “Compilations of Musical Works,” and 6.28.2.3, “Two or More Parts.” If this is not the case, this proposal should be withdrawn.

The objective of this proposal is to fill a gap in RDA by adding an instruction in 6.16.1.3 explicitly allowing inclusive numbering when recording numeric designations of musical works.

Because of the ways that musical works have been numbered in music reference sources and in thematic indexes, it is not unusual for a musical work to be identified by several consecutive serial numbers or thematic index numbers. RDA actually includes two examples of access points containing multiple serial numbers or thematic index numbers. The first example is found under 6.28.3.3:

Bach, Johann Sebastian, 1685–1750. Sonaten und Partiten, violin, BWV 1001–1006

In this example, the 3 sonatas and 3 partitas for unaccompanied violin that are considered to form a single aggregate work have been numbered separately and consecutively in the thematic index of J.S. Bach’s works established by Wolfgang Schmieder. Other examples include Bach’s Brandenburgische Konzerte which are numbered BWV 1046-1051 or Carl Philipp Emanuel Bach’s Prussian sonatas which bear the numbers H. 24-29 in E. Eugene Helm’s Thematic Catalogue of the Works of Carl Philipp Emanuel Bach.

The second RDA example is found under 6.28.3.4:

Beethoven, Ludwig van, 1770–1827. Quartets, strings, no. 1–6, op. 18 (Sketches)

It shows a case where works that are considered to form a single aggregate work because they were published together under a single opus number are also part of a series of works that are numbered sequentially in music reference sources. Beethoven’s catalog contains
several examples that are similar, such as op. 2, op. 10 and op. 27, which contains his piano sonatas no. 1-3, 5-7 and 13-14, respectively.

The following changes are proposed:

1) adding the sentence “Use inclusive numbering for an aggregate work that is identified by consecutive serial numbers or thematic index numbers in music reference sources and/or thematic indexes.” in 6.16.1.3.

2) adding examples of inclusive numbering in 6.16.1.3.1 and 6.16.1.3.3.

Proposed revision:

6.16.1.3 Recording Numeric Designations of Musical Works

Record as many of the following numeric designations of musical works as can readily be ascertained. Use abbreviations as instructed in appendix B (B.5.4). Use inclusive numbering for an aggregate work that is identified by consecutive serial numbers or thematic index numbers in music reference sources and/or thematic indexes.

6.16.1.3.1 Serial Number

If works with the same title and the same medium of performance are consecutively numbered in music reference sources, record the number.

EXAMPLE

no. 2
Resource described: String quartet no. 2 / Eleanor Cory. Preferred title: Quartets; medium of performance: strings

no. 5
Resource described: Fifth symphony for orchestra / by Arnold Bax. Preferred title: Symphonies

no. 6-8
Resource described: Violin sonatas op. 30 nos. 1-3 / Beethoven. Preferred title: Sonatas; medium of performance: violin, piano; opus number: op. 30

If different works in a consecutively numbered series have different forms of numeric designation, or different words introducing the number in the sources from which the numeric designations for the individual works are taken, select one of the forms to use in the numeric designations for all the works in the series.

[Examples omitted]
6.16.1.3.2 Opus Number

Record the opus number, if any, and the number within the opus, if any.

EXAMPLE

op. 114
Resource described: Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil. Preferred title: Quintets; medium of performance: piano, violin, viola, violoncello, double bass

op. 2, no. 1
Resource described: Piano sonata no. 1 in F minor, op. 2, no. 1 / Beethoven. Preferred title: Sonatas; medium of performance: piano; serial number: no. 1

op. 2, no. 2
Resource described: Piano sonata no. 2 in A major, op. 2, no. 2 / Beethoven. Preferred title: Sonatas; medium of performance: piano; serial number: no. 2

If there is a conflict in opus numbering among works of the same title and medium, or if the overall opus numbering of a composer’s works is confused and conflicting, add to the opus number the name of the publisher originally using the number chosen. Add the publisher’s name in parentheses.

[Examples omitted]

6.16.1.3.3 Thematic Index Number

In the case of certain composers, record the number assigned to a work in a recognized thematic index. Precede the number by the initial letter or letters of the musicologist’s name (e.g., K. 453¹) or a generally accepted abbreviation (e.g., BWV 232²).

EXAMPLE

D. 667
Resource described: Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil. Preferred title: Quintets; medium of performance: piano, violin, viola, violoncello, double bass

H. III., 37-42
Resource described: 6 string quartets, opus 33, Hoboken III, 37-42 = 6 Streichquartette / Joseph Haydn ; edited by Simon Rowland-Jones ; editorial consultant, David Ledbetter. Preferred title: Quartets; medium of performance: strings; opus number: op. 33
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Record as many of the following numeric designations of musical works as can readily be ascertained. Use abbreviations as instructed in appendix B (B.5.4). Use inclusive numbering for an aggregate work that is identified by consecutive serial numbers or thematic index numbers in music reference sources and/or thematic indexes.

6.16.1.3.1 Serial Number

If works with the same title and the same medium of performance are consecutively numbered in music reference sources, record the number.

EXAMPLE

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Resource described: String quartet no. 2 / Eleanor Cory. Preferred title: Quartets; medium of performance: strings

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If different works in a consecutively numbered series have different forms of numeric designation, or different words introducing the number in the sources from which the numeric designations for the individual works are taken, select one of the forms to use in the numeric designations for all the works in the series.

[Examples omitted]

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op. 114
Resource described: Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil. Preferred title: Quintets; medium of performance: piano, violin, viola, violoncello, double bass

op. 2, no. 1
Resource described: Piano sonata no. 1 in F minor, op. 2, no. 1 / Beethoven. Preferred title: Sonatas; medium of performance: piano; serial number: no. 1

op. 2, no. 2
Resource described: Piano sonata no. 2 in A major, op. 2, no. 2 / Beethoven. Preferred title: Sonatas; medium of performance: piano; serial number: no. 2

If there is a conflict in opus numbering among works of the same title and medium, or if the overall opus numbering of a composer's works is confused and conflicting, add to the opus number the name of the publisher originally using the number chosen. Add the publisher's name in parentheses.

[Examples omitted] 6.16.1.3.3
Thematic Index Number

In the case of certain composers, record the number assigned to a work in a recognized thematic index. Precede the number by the initial letter or letters of the musicologist's name (e.g., K. 453) or a generally accepted abbreviation (e.g., BWV 232).

EXAMPLE

D. 667
Resource described: Quintett in A für Klavier, Violine, Viola, Violoncello und Kontrabass D 667 (op. post. 114) : Forellen-Quintett = Quintet in A major for piano, violin, viola, violoncello, and double bass : the trout quintet / Franz Schubert ; herausgegeben von Arnold Feil. Preferred title: Quintets; medium of performance: piano, violin, viola, violoncello, double bass

H. III, 37-42
Resource described: 6 string quartets, opus 33, Hoboken III, 37-42 = 6 Streichquartette / Joseph Haydn ; edited by Simon Rowland-Jones ; editorial consultant, David Ledbetter. Preferred title: Quartets; medium of performance: strings; opus number: op. 33

BWV 1046-1051
Resource described: Brandenburg concertos = Les concertos brandebourgeois / J.S. Bach. Preferred title: Brandenburgische Konzerte

[Footnotes omitted]