To: Joint Steering Committee for Development of RDA
From: Alan Danskin, BL Representative
Subject: Issues deferred until after the first release of RDA: BL recommendations

Introduction

The British Library has reviewed “Issues deferred until after the first release of RDA” (6JSC/Sec/1). The British Library thanks Nathalie Schultz for her work in compiling and maintaining this list over several years.

Where other constituencies have indicated an interest in taking an issue forward and developing a proposal the British Library will defer to them.

General Issues

Cataloguer judgment

Further refinement of the instructions is unlikely to result in unambiguous resolution of the following issues which are therefore best left to cataloguer’s judgment.

- Names of persons families and corporate bodies (2.3.1.5)
- Introductory Words (2.3.1.6)

Priority No further action

Initial Articles

BL recommends that the omission of initial articles is treated holistically. Any proposals should cover all instructions which require initial articles to be omitted. These instructions are listed at C.1:

6.2.1.7 omission of initial articles from titles of works
9.2.2.25 omission of initial articles from characterizing word or phrase used as the name of a person
9.2.2.26 omission of initial articles from phrase naming another work by the person
11.2.2.8 omission of initial articles from names of corporate bodies

This issue has obvious implications for the internationalization of RDA and for translations of RDA into inflected languages; the omission of initial articles also touches on the principle of representation. The BL is concerned
that any proposed solution should seek to avoid the requirement for substantial back file amendment.

Priority Medium

Data about data

The British Library acknowledges and agrees with the suggestion from ALA that some big issues, such as “data about data” need to be discussed by JSC before proposals can be developed. An approach needs to be agreed on both the methodology and the scope for such a discussion. BL would be prepared to contribute to a working group on this issue. The BL has identified the following issues as being related to this general problem.

- Chapter 2 Changes over Time
- 2.2.4 Use of Square Brackets
- Date of signing of a treaty
- 7.25.5.3 Recording Additional Scale Information
- 9.2.2.1.8 Word or phrase included in the name
- 9.2.3.9 Language & Script for Alternative Linguistic form of name
- Chapter 16 Access points to represent places
- Dates associated with an element

Priority High

Specific Instructions

Chapter 1

Change from single unit to multipart monograph

BL can see no advantage in this and does not regard it as a priority.

Priority No further action.

1.7.7 Transcription of letters or words intended to be read more than once

BL believes that the current instructions are sufficient.

RDA1.7.7 instructs that letters or words which appear once, but are intended to be read more than once should be repeated. This is consistent with the principle of representation and should also be consistent with user
expectations. Omission of repeated words or letters may result in nonsensical entries.

RDA allows cataloguers to provide variant titles. The general exception under 1.7.1 allows for literal transcription using automated means.

Priority: No further action

**Chapter 2**

**Transcription**
BL would like to see some rationalization of the “take what you see approach”, particularly around the imprint. BL does not plan to prepare a proposal on this issue, but will be willing to contribute to discussion.

Priority Medium

**Description of a multipart monograph or serial**
BL does not intend to prepare a proposal and sees no justification for this change.

Priority No further action

**Changes over time**
BL does not intend to prepare a proposal. The issue is related to the general question of data about data.

**2.2.2 Preferred source of information and collective title**
BL defers to Music Library Association and the Online Audio-visual Catalogers.

**2.3.1.4 Inaccuracies in the title of a serial or integrating resource**
BL’s view is that the exception under 2.3.1.4 is justified by the nature of the resources. This is consistent with 1.1.5.1. in consolidated ISBD May 2010.

Priority: No further action
2.3.2.5 Use of full form of serial title over an acronym or initialism
This issue has been resolved and can be closed.
Priority: No further action.

Other title information for moving image resources
BL does not intend to prepare a proposal.
Priority Low

Devised titles for music
BL view is that general instruction is sufficient.
Priority: No further action

Designation of edition
BL acknowledges the benefit of simplification and notes that there is a relationship with ISBD.
Priority Medium.

Use of “issues or parts of a serial
BL does not intend to make a proposal.
Priority Low

Use of "new series" and "second series
BL does not intend to make a proposal.
Priority Low

Chapter 3

Plates
BL will not make a proposal.
Priority Medium
**Recording extent of three-dimensional forms**
It is not evident that the publication of FRSAR will facilitate the resolution of this issue, but this is an evident gap BL recommends issue should be discussed further by JSC.

BL will not make a proposal.

Priority Medium

**Base material and applied material for sound recordings**
BLSound Archive regards this as high priority and has offered to prepare a proposal.

Priority High

**Production method for sound recordings**
BL will not make a proposal.

Priority Low

**Production method for sound recordings**
BL will not make a proposal.

Priority Low

**Resolution of video images**
BL will not make a proposal.

Priority Low

**Encoding format**
RDA should seek to take advantage of linking to the UDFR, (BL is a member of the governing body, but The National Archives have been leading on technical issues for UK). The service will go live in 2011, so this is high priority.
BL would be prepared to make a proposal, if no other constituency wishes to do so..

Priority High

**Zoom factor/Enlargement ratio**
BL will not make a proposal.

Priority Low

**Chapter 4**

**Missing Elements**
BL will not make a proposal.

Priority Medium

**URLs**
This issue was raised by DNB and JSC needs to respond. BL prepared to contribute to any discussion.

Priority High

**RDA Chapter 5**

**Other style manuals**
BL agrees this is necessary for internationalization.

Chair will make a proposal.

Priority Medium

**Chapter 6**

**Conventional Collective Titles**
BL interested in hearing more detailed proposal.

Priority medium
**Form of Work**

It seems anomalous that there is no vocabulary associated with this element. Discussion of scope and re-use of existing sources would be valuable before a proposal is prepared.

Priority medium.

**Place of Origin of the Work**

Further discussion regarding the purpose of this element may be useful.

Priority medium

**Content Type**

RDA should be extensible and responsive to change. Chair will follow up with ONIX.

Priority High

**Musical works**

BL thanks ALA/MLA for offer to prepare a proposal.

Priority

**Laws, etc.,” “Treaties, etc.,” and “Protocols, etc.”**

BL does not intend to make a proposal

Priority Low

**Bible**

BL does not intend to make a proposal. BL is concerned about the retrospective work this would generate.

Priority Low

**Bible –Apocrypha**

BL notes ALA’s intention to refer this issues to specialist groups.
Priority Low

**Bible –Year**
BL believes generalization of these instructions would be desirable and notes ALA’s intention to refer to specialist groups.

Priority medium

**Other distinguishing characteristics of the expression of a religious work**
BL believes generalization of these instructions would be desirable and notes ALA’s intention to refer to specialist groups.

Priority medium

**Bible Version**
Retention of the rule of three does not appear to be justified. BL notes ALA’s intention to refer this issue to specialist groups.

Priority Medium

**When Composer and Librettist are the same**
BL notes MLA will develop a proposal.

Priority Medium

**Use of “Lyrics” and “Texts”**
BL notes MLA will develop a proposal.

Priority Medium

**Reports of one court**
BL notes ALA has referred to specialist group for action.

Priority Low
**Date of signing of a treaty**
This is related to the issue of Data about Data and should be discussed by JSC.

Priority High

**Expressions of religious works**
BL is in favour of generalizing instructions where possible and welcomes ALA’s referral of this issue to specialist groups.

Priority Medium

**Catholic liturgical works**
BL does not intend to prepare a proposal.

Priority Low.

*Chapter 7*

**Nature of the content**
This issue seems likely to cause confusion and should be discussed before a proposal is prepared.

Priority High

**Nature of the content**
BL would be prepared to put forward a proposal.

Priority High

**Type of illustrations**
BL does not intend to put forward a proposal.

Priority Low

**Illustrative Content**
BL does not intend to put forward a proposal.
Priority Low

**Additional scale information**
This falls into the category of data about data.

Priority High

**Other details of cartographic content**
BL does not intend to put forward a proposal.

Priority Low

**Chapter 8**

**Other style manuals**
BL agrees this is necessary for internationalization.

Chair will make a proposal.

Priority Medium

**Spacing of initials and acronyms**
BL sees no urgency in addressing this and is concerned that the retrospective change would more than outweigh benefits of increased consistency.

Priority Low

**Chapter 9**

**Change of Name**
The BL believes that any proposal for simplification of these instructions must take into account the more complex reasons and personal sensitivities relating to changes of personal names

Priority Medium

**First part of the name is the surname**
BL does not intend to make a proposal.
Priority Medium

**Surname as first element**
BL has been working on automation of authority control processes in the Names project. Unambiguous identification of components of the name is valuable for machine matching.

BL would be prepared to develop a proposal.

Priority High

**Name that consists of a phrase/Additions to names**
BL notes that ALA will develop a proposal.

Priority Medium

**Persons known by a surname only (9.2.2.9.3)**
BL sees no need to pursue this further. The instruction makes provision for entry in both inverted and direct orders.

Priority: No further action

**Initial articles in phrases used as the names of persons**

The British Library is concerned about the impact on retrospective alignment. See general issues.

**Word or phrase included in the name**
This is data about data.

**Language and script for Alternative linguistic form of name**
See general issue on data about data.

**Other variant name**
BL does not intend to develop a proposal.
Recording of month and day in date of birth.
BL does not intend to develop a proposal.

Other persons of religious vocation/Saints
Discuss whether this could be part of broader review of components on name.

Field of Activity and Profession/Occupation
BL does not intend to develop a proposal.

Chapter 10

Family names not based on surnames
There is overlap with the proposal to define the components of personal names in Chapter 9. The BL does not intend to cover all of these possibilities, but our proposal will be developed to be extensible. Collaboration with IFLA Names of Persons could be explored.

Estate or house names to distinguish names of families
BL does not intend to develop a proposal.

Controlled list of values for Type of family
BL does not intend to develop a proposal.
Chapter 11

**Separate instructions for government bodies and other corporate bodies**
BL welcomes ALA’s decision to prepare a proposal.

Priority High

**Events**
This issue needs further JSC discussion.

Priority Medium

**Ancient and international bodies**
The caption might be misread, but the scope is clear. BL does not intend to develop a proposal.

Priority Low

**Autocephalous Patriarchates, Archdiocese, etc.**
BL does not intend to develop a proposal

Priority Low

**Initial articles**
See general issues.

**Citations of honours**
BL does not intend to develop a proposal

Priority Low

**Heads of state and Heads of government**
BL welcomes ALA’s decision to prepare a proposal.

**Terms indicating incorporation (11.2.2.10)**
BL does not intend to develop a proposal
Low priority.

**Transliterated names for corporate bodies**
BL does not intend to develop a proposal

Low priority.

**Subordinate bodies**
BL notes that LC may take this forward.

Low priority

**Joint Committees**
BL does not intend to develop a proposal

Low priority

**Ruling executive bodies**
BL does not intend to develop a proposal

Low priority

**Heads of state and Heads of government**
BL does not intend to develop a proposal

Low priority

**Subcommittees of the United States Congress**
BL would not presume to develop a proposal

Low priority

**Qualifiers for courts**
BL does not intend to develop a proposal

Low priority
**Armed forces**
BL does not intend to develop a proposal
Low priority

**Change of name of jurisdiction**
BL does not intend to develop a proposal
Low priority

**RDA Chapter 16**

**Access points to represent places**
JSC needs to discuss this as part of a more general process of defining the scope for future development.
Priority High

**Additional uses for place names**
BL does not intend to develop a proposal
Priority High

**Places in Australia, Canada, Malaysia, the United States, the U.S.S.R., or Yugoslavia**
BL does not intend to develop a proposal
Priority Medium

**Identifiers for places**
BL does not intend to develop a proposal
Priority High

**RDA Chapter 19**

**Corporate bodies as creators**
BL does not intend to develop a proposal
Priority High
**Jurisdiction governed and Issuing agency**
BL does not intend to develop a proposal

Priority Low

**Appendices**

**Appendix A – Capitalization**
Appendix A conflicts with principle of representation.

BL does not intend to develop a proposal

Priority Medium

**Unusual capitalization**
Dependency with previous issue.

Priority Low.

**Appendix B – Abbreviations**
May be left to other language communities to make proposals.

Priority Medium

**B.11 Names of Certain Countries, States, Provinces, Territories, etc.**
BL does not intend to develop a proposal

Priority Medium

**Appendix C – Initial articles**
Additions
BL does not intend to develop a proposal

Priority Medium

Dialects
BL does not intend to develop a proposal
Priority Low

**Appendix F – Additional instructions on names of persons**
Chair to progress with IFLA

Priority High

**Appendix G – Titles of Nobility, terms of rank**
Related to Appendix F issue and might be handled concurrently

Priority Medium

**Appendix H – Dates in the Christian calendar**
BL does not intend to develop a proposal

Priority Medium

**Appendix J - Relationship designators: Relationships between works, expressions, manifestations, and items**
JSC needs to discuss further.

BL does not intend to develop a proposal

Priority Medium

**Appendix K – Relationship designators: Relationships between persons, families, and corporate bodies**
JSC needs to discuss further.

BL does not intend to develop a proposal

Priority Medium

**RDA Glossary**
BL does not intend to develop a proposal

Priority Medium
Multiple Instructions

Instructions on sources of information
BL does not intend to make a proposal.
Priority Low

Repetition of text in instructions
BL does not intend to make a proposal.
Priority Low

Repetition of element name
BL does not intend to make a proposal.
Priority Low

Non-Latin Script examples
BL does not intend to make a proposal. JSC should be open to suggestions for additional examples and could look at involving other rule makers.
Priority Medium

Use of ISO standards
This is related to the more general issue of addressing issues raised by other rule makers. JSC should discuss.
Priority Medium

Finding of objects
BL does not intend to make a proposal.
Priority Medium

Definition of “expression”
BL does not intend to make a proposal.
Priority Medium

**Access points for manifestations and items**
BL does not intend to make a proposal.

Priority Medium

**Dates associated with an event**
MARC 21 has provided content designation to enable start and end periods to be recorded. It will seem anomalous that RDA does not explicitly allow this. This falls under the category of data about data and also to the issue of Changes over time.

**Legal works (various chapters)**
BL does not intend to make a proposal. This is not a significant issue for us.

Priority Low

**Simplification of special rules in AACR2 Chapters 22-26**
BL does not intend to make a proposal. Simplification would be beneficial, but it is unlikely to provide many quick wins.

Priority Low

**Gap analysis with encoding standards**
JSC needs to discuss relationship of RDA with MARC and other encoding standards.

Priority High

**Archival cataloguing and Museum practice**
Discussion of how this may be progressed would be valuable.

Priority Medium

**Review Chair follow-ups to 5JSC/RDA/Full draft**
Identify issues raised by other rule makers, which JSC designated as not for first release, to provide the basis for discussion.
Priority Medium

**IEEE LOM**
Chair

Priority Low

**Complete examples**
BL will make available any suitable examples developed for training and implementation. The provision of examples in other display formats is dependent on availability of suitable mappings. At present there is a MODS mapping, but no mappings to MADS or to Dublin Core.

Priority Medium