

To: Joint Steering Committee for Development of RDA
From: Kathy Glennan, ALA Representative
Subject: Clarify *Sources of Information* for Statement of Responsibility Relating to Title Proper (RDA 2.4.2.2, etc.)

Abstract

Modify RDA 2.4.2.2 (*Sources of Information - Statement of Responsibility Relating to Title Proper*) and 2.4.2.3 (*Recording Statements of Responsibility Relating to Title Proper*) to clarify how to present fuller and/or additional statements of responsibility that do not appear on the preferred source. Add examples to support the revised text. Revise RDA 2.17.3.5 (*Other Information Relating to a Statement of Responsibility*) to match wording used in similar instructions.

Justification

RDA appears to leave room for interpretation about the following situation:

A manifestation has only the creator's last name on the preferred source of information but has fuller and/or additional statements of responsibility elsewhere in the resource.

When presented with this situation, catalogers need to apply RDA 2.4.2.1 (*Scope - Statement of Responsibility Relating to Title Proper*) and 2.4.2.2 (*Sources of Information - Statement of Responsibility Relating to Title Proper*). This has resulted in the following interpretations:

Interpretation #1:

Transcribe everything in its fullest form, regardless of where it is found in the resource. Although RDA 2.4.2.2 gives a list of sources in preference order, it does not say “from one of the following sources”. This implies that more than one source may be used. Catalogers following this interpretation believe that users will be best served by the fullest information available in the resource, whether or not it appears on a single preferred source.

Interpretation #2:

Transcribe what's on the preferred source.

If desired, for additional statements of responsibility not on the preferred source, transcribe each from a single source within the resource itself. In this situation, do not use square brackets, even though the additional statements did not come from the preferred source.

If a name appears in a shortened form on the title page, transcribe only that form. Do not substitute a fuller form from elsewhere in the resource, and do not expand the form of the shortened name using square brackets.

Interpretation #3:

Transcribe what's on the preferred source, and supply additional statements of responsibility from elsewhere in the resource in square brackets. This is effectively what AACR2 instructed, but is not in keeping with the spirit of RDA, since square brackets in this situation signal that the information came from outside the resource.

Interpretation #4:

Transcribe what is on the preferred source and provide any fuller and/or additional statements of responsibility in a note, if desired. Under this interpretation, the clause "in order of preference" in 2.4.2.2 is taken to require that a single source of information be chosen and used exclusively as the source for all statements of responsibility.

Having considered all of these choices, ALA proposes modifying the text of 2.4.2.2 and 2.4.2.3 to support interpretation #2, adding supporting examples in 2.4.2.3, 2.17.3.4 (*Variant Forms of Names*) and in 2.17.3.5 (*Other Information Relating to a Statement of Responsibility*). We also suggest a minor rewording of 2.17.3.5.

Issues to be resolved

Does 2.4.2.2 support using multiple sources of information for separate statements of responsibility? If so, should RDA be clarified to address the situation when a fuller and/or additional statement of responsibility is in the resource, but not on the preferred source?

Summary of proposed changes

Change #1 – RDA 2.4.2.2, *Sources of Information* and RDA 2.4.2.3, *Recording Statements of Responsibility Relating to Title Proper*:

- Clarify the language in 2.4.2.2 to support the following interpretation: While it is acceptable to take different statements of responsibility from different sources, a single source should be used for each separate statement.
- In 2.4.2.3, add a new paragraph that refers back to 2.4.2.2. Also add a final paragraph referring to 2.17.3.5, to clarify that catalogers may make a note on the source of a statement of responsibility if considered important for identification, access, or selection.
- Provide examples in 2.4.2.3 to support this interpretation.

Change #2 – RDA 2.17.3.4, *Variant Forms of Names*:

- Add an example that gives a fuller form of name taken from the caption, rather than the preferred source of information.

Change #3 – RDA 2.17.3.5, *Other Information Relating to a Statement of Responsibility*:

- Modify the term used in the first sentence of 2.17.3.5, changing "information" to "details" to match the wording used in 2.17.2.5 and 2.17.4.4.
- Add one example to support the final paragraph proposed for 2.4.2.3.

Impact

ALA does not anticipate any significant changes in cataloging practice from implementing this proposal. The impact on the RDA text itself is minimal.

Proposals

Change #1 - RDA 2.4.2.2 and RDA 2.4.2.3

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2.4.2.2 Sources of Information

Take each statements of responsibility relating to title proper from one of the following sources (in order of preference):

- a) the same source as the title proper (see [2.3.2.2](#))
- b) another source within the resource itself (see [2.2.2](#))
- c) one of the other sources of information specified at [2.2.4](#).

Use this order of preference even if a subsequent source of information contains a fuller statement of responsibility identifying the same person, family, or corporate body.

2.4.2.3 Recording Statements of Responsibility Relating to Title Proper

Record statements of responsibility relating to title proper by applying the basic instructions at [2.4.1](#).

Transcribe each statement of responsibility from one of the sources of information specified in [2.4.2.2](#).

EXAMPLE

[existing examples unchanged]

C-Hundred Film Corp and Bluemark Productions present
directed by Chris Smith
producers, Sarah Price, Chris Smith
co-producers, Jim McKay, Michael Stipe

Resource described: American movie / C-Hundred Film Corp and Bluemark Productions present ; directed by Chris Smith ; producers, Sarah Price, Chris Smith ; co-producers, Jim McKay, Michael Stipe. **Two sources of information used: production companies, director, and producers from the on screen credits; co-producers from the container**

Janáček
(Munclinger)

Resource described: 3 Moravian dances : for flute, oboe, clarinet and bassoon / Janáček ; (Munclinger). **On the preferred source of information, the composer's and arranger's surnames only appear. In the caption, the statements of responsibility are: Leos Janáček ; transcribed by Milan Munclinger**

If not all statements of responsibility appearing on the source or sources of information are being recorded, give preference to those identifying creators of the intellectual or artistic content. In case of doubt, record the first statement.

Make a note on persons, families, or corporate bodies not recorded in the statement of responsibility, if considered important for identification, access, or selection (see [2.17.3](#)).

Make a note on the source of a statement of responsibility if considered important for identification, access, or selection (see [2.17.3.5](#)).

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Make a note on the source of a statement of responsibility if considered important for identification, access, or selection (see [2.17.3.5](#)).

Change #2 - RDA 2.17.3.4

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2.17.3.4 Variant Forms of Names

Make notes on variant forms of names if:

the names of persons, families, or corporate bodies appear in the resource in forms that are different from those recorded in the statement of responsibility

and

the different forms are considered important for identification.

EXAMPLE

Issued by: Abortion Law Reform Association

Statement of responsibility: Alra

Author's initials represented by musical notes

Transcribed by Milan Munclinger

Statement of responsibility on title page: (Munclinger). Fuller information in caption

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Statement of responsibility on title page: (Munclinger). Fuller information in caption

Change #3 - RDA 2.17.3.5

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2.17.3.5 Other Information Relating to a Statement of Responsibility

Make notes on other information details relating to a statement of responsibility, including information not recorded in the statement of responsibility element, if considered important for

identification, access, or selection. Include a word or short phrase if necessary to clarify the role of a person, family, or corporate body named in the note.

EXAMPLE

[existing examples unchanged]

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[existing examples unchanged]

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